

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Richard L. Murgatroyd.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Richard L. Murgatroyd*, Case No. 07-115;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on October 19, 2007, the Commission alleged facts in an Initiating Order that Murgatroyd violated the Executive Branch Code of Ethics at KRS 11A.020(1)(a), (b) and (d);

WHEREAS, Murgatroyd was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9), and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Murgatroyd indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Murgatroyd agree, pursuant to KRS 11A.100, as follows:

1. Murgatroyd, while stating that he was not aware or knew that his actions were in violation of the provisions of KRS Chapter 11A, recognizes that the factual allegations as set forth in Count I through Count X of the Commission's Initiating Order of October 19, 2007, if proven true at a hearing, could result in a determination of multiple violations of the Executive Branch Code of Ethics at KRS 11A.020(1)(a), (b) and (d) as stated in the Commission's Initiating Order.

2. Murgatroyd agrees to pay the Commission a civil penalty of \$2,000.00 within ten days from the date this settlement agreement is executed.

3. Murgatroyd agrees that upon a Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Richard L. Murgatroyd*, Case No. 07-115.

5. This Settlement Agreement constitutes a public reprimand to Murgatroyd, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:

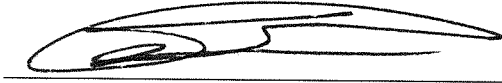

Richard L. Murgatroyd

1/11/2010
Date

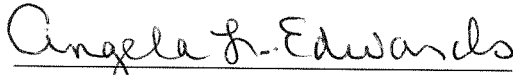
EXECUTIVE BRANCH ETHICS COMMISSION:


Chair, Gwendolyn Pinson


1/29/10
Date


Vice-Chair, Ronald L. Green

1/29/10
Date


Angela Edwards

1/29/10
Date


W. David Denton

Date

Vacant

Date